Pulmonary Hypoplasia and Postnatal Lung Growth



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Pulmonary Hypoplasia













Lung Lesion (CPAM)

PHP Enrollment by Diagnosis



Congenital Diaphragmatic Hernia

- 1:2500 4000 live
 births
- 3.3 3.8/10,000
 total births
- 4 types
 - Posterolateral
 - ~90% of all
 - 70-90% left-sided
 - Anterolateral
 - Pars sternalis
 - Morgagni





Pulmonary Abnormalities in CDH

- Lungs physically smaller
- Fewer airway branches
- Fewer terminal units
- Decreased surfactant



- Smaller and fewer arterioles
- Abnormally developed
 - Excessive smooth muscle
 - Abnormal response to O₂

Hislop A and Reid L. Thorax 31:450; 1976

Hypertension



Normal lung, 6 months



Alveolar surface Density (cm-1)



CDH, 7 months





CDH: A Heterogeneous Condition

- Location of hernia
- Degree of pulmonary hypoplasi
- Other organ involvement
 - Cardiac
 - Skeletal
 - Gastrointestinal
- Associated genetic mutations
 - Chromosomal abnormalities
 - Animal models





asia Complete hemi-aplasia





Posterior-medial (includes lumbocostal triangle)

Posterior-lateral (includes lumbocostal triangle)





Anterior-parasterna

(usually not associated with

pulmonary hypoplasia)

Anterior-lateral (may be associated with pulmonary hypoplasia)





Small posterior-lateral

Latera

From Kardon G et al. Dis Model Mech 10:955;2017

Selected Genetic Mouse Models of Abnormal Diaphragm Development

<u>Model</u>	<u>Diaphragm Defect</u>	<u>Human Correlate</u>
C-Met	Amuscular	Unknown
COUP-TFII (Nkx 3.2 conditional model)	Posterior hernia (no sac)	Cytogenetic hotspot 15q26.1-26.2 (D1H1, OMIM #142340) (syndromic)
Fog2 (Zfpm2 ^{lii/lil})	Posterior hernia (sac), muscle patterning defect	de novo mutation (non-syndromic)
Gab 1	Amuscular	Unknown
Gata4 +/-	Central hernia (sac)	Suspected, cytogenetic hotspot 8p23.1
LOX	Central rupture	Unknown
МуоD	Thin, not functional	Unknown
Mogen	Amuscular	Unknown
MyoR/Capsulin	Posterior hernia (?sac)	Unknown
Pax3 (Splotch)	Amuscular	Unknown
$RAR\alpha/RAR\beta^2$ (retinoic acid receptors)	Compound receptor nulls have posterior hernias	Unknown, suspected
Slit3	Central midline hernia (sac)	Unknown
Wt1	Posterior hernia	Syndromic

Adapted from Ackerman KG and Greer JJ. Am J Med Genet Part C Semin Med Genet 145C:109–116; 2007

Morbidity at Discharge and Defect Size



Putnam LR et al. Pediatrics 138:e20162043; 2016

Pulmonary Hypoplasia in CDH: A Two-Hit Hypothesis

- Space occupying lesion
- Embryopathy
- Combination
- ?Accelerated (catch-up) growth?



Giant Omphalocele



Omphalocele 1 in 6,000 live births

- Small, giant, ruptured
- Giant contains most of liver
- High incidence of respiratory insufficiency



Chest Shape in Newborns with Abdominal Wall Defects

	Gastroschesis	Small Omphalocele	Giant Omphalocele
BW (g)	2515 ± 573	3393 ± 949†	2863 ± 566
GA (weeks)	37.4 ± 3.1	38.9 ± 3.8	38.3 ± 2.6
W1/T	1.12 ± 0.08	1.13 ± 0.06	0.97 ± 0.07†
W2/T	0.71 ± 0.06	0.71 ± 0.07	0.65 ± 0.06 †
(H1+H2)/2T	0.68 ± 0.09	0.69 ± 0.06	0.74 ± 0.08
(Ac – Ah)/T	2.55 ± 0.61	2.70 ± 0.51	2.07 ± 0.26‡
†P < 0.001			

‡P < 0.05

Hershenson MB et al. J Pediatr Surg 20:348; 1985





Purported Mechanism of Pulmonary Hypoplasia in GO

Deformation Sequence





Pulmonary Hypertension in GO

- N = 54
 - 34 without PH
 - 20 with PH
 - 9 required long term therapy (sildenafil)
- PH associated with
 - Duration of mechanical ventilation
 - Requirement for tracheostomy
 - Need for bronchodilators
 - Supplemental O₂ at time of NICU discharge

Partridge EA et al J Pediatr Surg 49: 1767; 2014

Postnatal Alveolar Development



Reid LM. Br J Dis Chest 78:12; 1984





3/31/08

4/6/08 POD #2 5/8/08







1 day old

2.5 yrs old

Pulmonary Outcomes at 1 Yr vs Support at 30d



Pulmonary Outcomes at 5 Yr vs Support at 30d



Standard Lung Function Testing



CDH Study Population

- n = 98 (56 males)
- 11 days 44 months
 - 24 <37 wk GA (17 35-36 6/7 wks)
- Support
 - 2 no mechanical ventilation
 - 3 prolonged: 22.2, 25.7 and 52.8 mo
 - In remaining 93, MV 22 <u>+</u> 19 d
 - 53 iNO or sildenafil
 - 20 ECMO

Spirometry



FVC and forced flows were lower than normal FEV_{0.5}/FVC slightly reduced - 23 with FEV_{0.5}/FVC < -1.645 Z scores

Lung Volumes



Z scores	First study $(n=98)$			
Fractional lung volumes				
TLC	$0.439 \pm 1.685^{*}$			
FRC	$3.901 \pm 3.087^{***}$			
RV	$2.350 \pm 2.521^{***}$			
RV/TLC	$0.780 \pm 2.336^{**}$			
	Second study			
	(n=43)			
TLC	0.154 ± 2.657			
FRC	$6.381 \pm 4.337^{***}$			
RV	$4.523 \pm 4.150^{***}$			
RV/TLC	$1.611 \pm 2.180^{***}$			
	Change in Z score			
	from 1st to 2nd study			
	(P-value)			
TLC -	$-0.427 \pm 2.445 \ (0.283)$			
FRC	$2.870 \pm 4.344 \ (< 0.001)$			
RV	$1.922 \pm 3.079 \ (< 0.001)$			
RV/TLC -	$-0.190 \pm 1.851 \ (0.525)$			

Change in Lung Function with Growth

- For every 1.0 ml/cm in healthy controls:
 - FVC increased 0.78 ml/cm
 - FRC increased 1.76 ml/cm
 - RV increased 2.5 ml/cm



3/31/08



4/6/08 POD #2



5/8/08

Forced Expiratory Flows: GO



Danzer E et al. J Pediatr Surg 47:1811; 2012

Lung Volumes: GO



Danzer E et al. J Pediatr Surg 47:1811; 2012

Specific Conductance



Gerhardt T et al. J Pediatr 110:448; 1987



Expiratory



Inspiratory



R=962 mL L=792 mL

TLC= 1596 mL (∆=9%)



Long-Term Pulmonary Follow-up



Ipsilateral Ventilation

Ipsilateral Perfusion

Kamata S et al. J Pediatr Surg 40:1833; 2005

Pulmonary Blood Flow at 2 Years





Pulmonary Blood Flow

From: Weis M et al. AJR 206:1315; 2016

Pulmonary Blood Volume

"New BPD": Arrested Alveolar Development



Agrons GA et al. RadioGraphics 25:1047; 2005

Vascular Growth Factors and Alveolarization



Thebaud B and Abman S. Am J Respir Crit Care Med 175:978; 2007

Alveolar sac in BPD

Alveolar Development and Angiogenesis

SU 5416 - day 14

Control - day 14



Jakkula M et al. Am J Physiol Lung Cell Physiol 279:L600; 2000



Change in FRC and RV Over Time

- n = 29
 - 6 persistent
 PAH
 - 8 PAH first study, normal second study
 - 15 never with
 PAH



Healy F et al. Pediatr Pulmonol 50:672; 2015

PAH and Lung Function

- Presence of PAH resulted in
 - Normal TLC but
 - Elevated RV and FRC
 - Elevated RV/TLC and FRC/TLC
 - Lower forced expiratory flows
 - Lower sGrs
- Persistence of PAH correlated with greater changes

Long-Term Follow-up 26 CDH (10.2 - 16.9 yrs, X = 13.2 yrs) vs. age- and gender-matched controls



Trachsel D et al. Pediatr Pulmonol 39:433; 2005

CDH Adult Survivors



Peetsold MG et al. Pediatr Pulmonol 42:325; 2007

How Will New Therapies Change Outcomes? Fetal Endoluminal Tracheal Occlusion (FETO) for CDH







Deprest J et al. Ultrasound Obstet Gynecol 24:121; 2004

Next Steps: EIT

1. Healthy lung





Lung imaging: Air content

Lung function imaging: Change of air content

Left CDH, Supine



Summary

- Clinical questions regarding pulmonary parenchymal and vascular remodeling remain
 - ?Function of initial cause of hypoplasia
 - ?Role of initial mutation
- Severity of initial impairment predicts long term outcome
- Most survivors do functionally well
 - Bronchospasm/RAD
 - PAH, GERD
- Prenatal and postnatal interventions may be possible to alter the course of disease



Effect of PAH on Lung Function

	Adjusted mean in no PH group (SE) ¹	Difference between PH and no PH groups (SE)	P value
Lung volumes			
TLC z-score	-0.506 (0.327)	1.070 (0.698)	0.1310
FRC z-score	1.703 (0.465)	3.672 (1.052)	0.0009
RV z-score	-0.264 (0.414)	3.709 (1.050)	0.0008
FRC/TLC z-score	1.079 (0.252)	1.534 (0.564)	0.0086
RV/TLC z-score	-1.790 (0.363)	1.843 (0.731)	0.0145
Forced flows			
ln(FVC) z-score	-0.014 (0.222)	-0.597 (0.493)	0.2306
ln(FEV0.5) z-score	-0.005(0.225)	-1.345 (0.506)	0.0101
ln(FEV0.5/FVC) z-score	0.052 (0.238)	-1.369 (0.601)	0.0265
ln(FEF25-75) z-score	0.307 (0.277)	-2.046 (0.619)	0.0016
Tidal mechanics			
$\ln(sCrs)$ ($\ln(1/cm H_2O)$)	-2.876 (0.074)	-0.360 (0.203)	0.0827
Crs/kg (ml/(cm H ₂ O.kg))	1.369 (0.056)	-0.050 (0.172)	0.7730
ln(sGrs) (ln[1/(cmH ₂ O.sec)])	-1.791 (0.057)	-0.513 (0.170)	0.0042

SE, standard error of mean.

¹Adjusted for gender, ECMO use in the neonatal period and age at time of IPFT.

Healy F et al. Pediatr Pulmonol 50:672; 2015